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PTO/SB/01 (12-97)

Approved for use through 9/30/00, OMB 0651-0032

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

Declaration Submitted with Initial Filing      OR       Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	NPA064US
First Named Inventor	KIA SILVERBROOK
<b>COMPLETE IF KNOWN</b>	
Application Number	/
Filing Date	
Group Art Unit	
Examiner Name	

As a below named Inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD AND SYSTEM FOR PROVIDING INSURANCE SERVICES**

the specification of which  
 is attached hereto      (*Title of the Invention*)  
 OR  
 was filed on (MM/DD/YYYY)  as United States Application Number or PCT International

Application Number  and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	Certified Copy Attached? NO
PQ3632	Australia	10-25-2000	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
PQ4912	Australia	12-24-1999	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

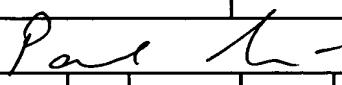
U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)				
<input type="checkbox"/> Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.						
As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: <input type="checkbox"/> Customer Number <input style="width: 100px; height: 15px; border: 1px solid black; margin-left: 10px;" type="text"/> <span style="margin-left: 20px;"> <input type="checkbox"/> OR  <input type="checkbox"/> Registered practitioner(s) name/registration number listed below             </span> <div style="float: right; border: 1px solid black; padding: 2px; margin-top: -20px;">               Place Customer Number Bar Code Label here             </div>						
Name	Registration Number	Name	Registration Number			
<input type="checkbox"/> Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.						
Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number or Bar Code Label		<b>24011</b>	OR <input checked="" type="checkbox"/> Correspondence address below			
Name	<b>Kia Silverbrook</b>					
Address	<b>Silverbrook Research Pty Ltd</b>					
Address	<b>393 Darling Street</b>					
City	<b>Balmain</b>	State	<b>NSW</b>	ZIP	<b>2041</b>	
Country	<b>Australia</b>	Telephone	<b>61-2-9818-6633</b>		Fax <b>61-2-9818-6711</b>	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.						
<b>Name of Sole or First Inventor:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle if any) <b>KIA</b>			Family Name or Surname <b>SILVERBROOK</b>			
Inventor's Signature	<i>KIA</i>				Date <b>Oct. 18, 2000</b>	
Residence: City	<b>Balmain</b>	State	<b>NSW</b>	Country	<b>Australia</b>	Citizenship <b>Australian</b>
Post Office Address	<b>393 Darling Street</b>					
Post Office Address						
City	<b>Balmain</b>	State	<b>NSW</b>	ZIP	<b>2041</b>	Country <b>Australia</b>
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto						

Please type a plus sign (+) inside this box → 

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> <b>Supplemental Sheet</b> Page <u>1</u> of <u>1</u>
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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor						
Given Name (first and middle [if any])			Family Name or Surname					
<b>JACQUELINE ANNE</b>			<b>LAPSTUN</b>					
Inventor's Signature							Date	Oct. 18, 2000
Residence: City	<b>Rodd Point</b>	State	<b>NSW</b>	Country	<b>Australia</b>	Citizenship	<b>Australian</b>	
Post Office Address	<b>13 Duke Avenue</b>							
Post Office Address								
City	<b>Rodd Point</b>	State	<b>NSW</b>	ZIP	<b>2046</b>	Country	<b>Australia</b>	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor						
Given Name (first and middle [if any])			Family Name or Surname					
<b>PAUL</b>			<b>LAPSTUN</b>					
Inventor's Signature							Date	Oct. 18, 2000
Residence: City	<b>Rodd Point</b>	State	<b>NSW</b>	Country	<b>Australia</b>	Citizenship	<b>Norwegian</b>	
Post Office Address	<b>13 Duke Avenue</b>							
Post Office Address								
City	<b>Rodd Point</b>	State	<b>NSW</b>	ZIP	<b>2046</b>	Country	<b>Australia</b>	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor						
Given Name (first and middle [if any])			Family Name or Surname					
Inventor's Signature							Date	
Residence: City		State		Country		Citizenship		
Post Office Address								
Post Office Address								
City		State		ZIP		Country		

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**Correspondent Name and Address**

*Country,*  
Area Code and Telephone Number **61-2-9818-6633**

Name **Kia Silverbrook**Address (line 1) **Silverbrook Research Pty. Ltd.**Address (line 2) **393 Darling Street**Address (line 3) **Balmain, NSW 2041**Address (line 4) **Australia****Pages**

Enter the total number of pages of the attached conveyance document

# **6****Application Number(s) or Patent Number(s)** Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

**Patent Number(s)**

<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month **10** Day **18** Year **2000****Patent Cooperation Treaty (PCT)**

Enter PCT application number

PCT PCT PCT only if a U.S. Application Number  
has not been assigned.PCT PCT PCT **Number of Properties**

Enter the total number of properties involved.

# **3****Fee Amount**Fee Amount for Properties Listed (37 CFR 3.41): \$ **120****Method of Payment:****Deposit Account**

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 

Authorization to charge additional fees:

Yes No **Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

**Kia Silverbrook**

Name of Person Signing

Signature

**October 18, 2000**

Date



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**ASSIGNMENT OF APPLICATION**Docket Number (Optional)  
*NPA064US*

Whereas, I, KIA SILVERBROOK of 393 Darling Street  
Balmain, NSW 2041, Australia, hereafter referred to as applicant, have invented certain new and useful improvements in \_\_\_\_\_

**METHOD AND SYSTEM FOR PROVIDING INSURANCE SERVICES**

for which an application for a United States Patent was filed on \_\_\_\_\_,  
Application Number \_\_\_\_\_ / \_\_\_\_\_.

for which an application for a United States Patent was executed on October 18, 2000, and

Whereas, Silverbrook Research Pty Ltd of Balmain, New South Wales, Australia herein referred to "assignee" whose post office address is 393 Darling Street, Balmain, NSW 2041, Australia is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this eighteenth day of October 2000, 19XX,  
at Balmain, New South Wales, Australia.



(Signature)

State of \_\_\_\_\_) SS:

County of \_\_\_\_\_)

Before me personally appeared said \_\_\_\_\_  
and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_\_  
day of \_\_\_\_\_, 19\_\_\_\_\_.  
  
\_\_\_\_\_  
Seal

(Notary Public)

<b>ASSIGNMENT OF APPLICATION</b>		Docket Number (Optional) <b>NPA064US</b>
<p>Whereas, I, <u>PAUL LAPSTUN</u>, of <u>13 Duke Avenue, Rodd Point, NSW 2046, Australia</u>, hereafter referred to as applicant, have invented certain new and useful improvements in <u>METHOD AND SYSTEM FOR PROVIDING INSURANCE SERVICES</u></p> <p><input type="checkbox"/> for which an application for a United States Patent was filed on _____, Application Number _____ / _____.</p> <p><input checked="" type="checkbox"/> for which an application for a United States Patent was executed on <u>October 18, 2000</u>, and</p> <p>Whereas, <u>Silverbrook Research Pty Ltd</u> of <u>Balmain, New South Wales, Australia</u> herein referred to "assignee" whose post office address is <u>393 Darling Street, Balmain, NSW 2041, Australia</u> is desirous of acquiring the entire right, title and interest in the same;</p> <p>Now, therefore, in consideration of the sum of <u>one</u> dollars (<u>\$1.00</u>), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.</p> <p>Executed this <u>eighteenth</u> day of <u>October 2000</u>, <u>XX</u>,</p> <p>at <u>Balmain, New South Wales, Australia</u>.</p> <p style="text-align: right;"><u>Paul Lapstun</u> (Signature)</p> <p>State of _____) SS: County of _____) Before me personally appeared said _____ and acknowledged the foregoing instrument to be his free act and deed this _____ day of _____, 19 _____.  Seal _____ (Notary Public)</p>		

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**ASSIGNMENT OF APPLICATION**Docket Number (Optional)  
**NPA064US**

Whereas, I, JACQUELINE ANNE LAPSTUN of 13 Duke Avenue  
Rodd Point, NSW 2046, Australia, hereafter referred to as applicant, have invented certain new and useful improvements in \_\_\_\_\_

**METHOD AND SYSTEM FOR PROVIDING INSURANCE SERVICES**

for which an application for a United States Patent was filed on \_\_\_\_\_,  
Application Number \_\_\_\_\_ / \_\_\_\_\_.

for which an application for a United States Patent was executed on October 18, 2000, and

Whereas, Silverbrook Research Pty Ltd of Balmain, New South Wales, herein referred to "assignee" whose post office address is 393 Darling Street, Balmain, NSW 2041, Australia is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this eighteenth day of October 2000, 19XX,  
at Balmain, New South Wales, Australia.



(Signature)

State of \_\_\_\_\_) SS:  
County of \_\_\_\_\_)

Before me personally appeared said \_\_\_\_\_  
and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_\_  
day of \_\_\_\_\_, 19\_\_\_\_\_.  
  

(Notary Public)